



# CORPORATE DONOR-ADVISED FUND AGREEMENT

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**Thank you for setting up a corporate donor-advised fund.  
Your fund will be administered by Greater Horizons, a 501(c)(3) public charity.**

**Please complete the following form and return to [info@greaterhorizons.org](mailto:info@greaterhorizons.org)  
or fax to 816.842.8079.**

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# GREATER HORIZONS CORPORATE DONOR-ADVISED FUND AGREEMENT

## 1 Company Information

Company Name

Address

City

State

Zip Code

## 2 Fund Information

Name your Fund.

Examples: *XYZ Company Foundation, XYZ Company Charitable Fund*

Name of Fund

Type of Fund  
(Select One):

Employee Matching Gift Program

Grantmaking

## 3 Fund Advisor

Fund Advisors make recommendations for all Fund-related matters, including grants and investments. Fund Advisors have online access to view fund statements and recommend grants. List additional Fund Advisors in Section 10.

First Name

Middle Name or Initial

Last Name

Suffix

Preferred Name or Nickname (if different than first name)

Title

Phone

Work

Cell

Email Address

## 4 Advisory Committee Members

Advisory Committee Members may assist the Fund Advisor(s) in making grant and investment recommendations for the Fund. List additional Committee Members in Section 10.

First Name	Middle Name or Initial	Last Name	Suffix
Preferred Name or Nickname <i>(if different than first name)</i>		Title	
Phone	<input type="checkbox"/> Work <input type="checkbox"/> Cell	Email Address	
<input type="checkbox"/> This Advisory Committee Member has online access to view the Fund.			
<input type="checkbox"/> This Advisory Committee Member can suggest grants.			
<input type="checkbox"/> This Advisory Committee Member can make investment recommendations.			

First Name	Middle Name or Initial	Last Name	Suffix
Preferred Name or Nickname <i>(if different than first name)</i>		Title	
Phone	<input type="checkbox"/> Work <input type="checkbox"/> Cell	Email Address	
<input type="checkbox"/> This Advisory Committee Member has online access to view the Fund.			
<input type="checkbox"/> This Advisory Committee Member can suggest grants.			
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Phone	<input type="checkbox"/> Work <input type="checkbox"/> Cell	Email Address	
<input type="checkbox"/> This Advisory Committee Member has online access to view the Fund.			
<input type="checkbox"/> This Advisory Committee Member can suggest grants.			
<input type="checkbox"/> This Advisory Committee Member can make investment recommendations.			

**5 Investment Recommendation**

Please select one of the following three options for your Fund's investments.

- ▶ **Option 1:** Financial Advisor Managed Account
- ▶ **Option 2:** Greater Horizons' Investment Pools
- ▶ **Option 3:** Greater Horizons' Investment Pools with an emphasis on environmental, social and governance (ESG) factors

**Option 1:** The assets in the Fund will be invested separately in a financial advisor managed account on your advisor's platform. Your financial advisor named in Section 6 will make investment selections for your Fund on your behalf.

This option is available for funds with assets of \$100,000 or more.

How much do you plan to contribute to your Fund in the next year? \$ \_\_\_\_\_  
Estimated Contribution

Greater Horizons will contact your financial advisor to set up the investment account.  
The company or an employee of the company may not be named as the Fund's financial advisor.

**Option 2:** The assets in the Fund will be invested in Greater Horizons' Investment Pools.

Select a mix from the options listed below, or create your own mix.

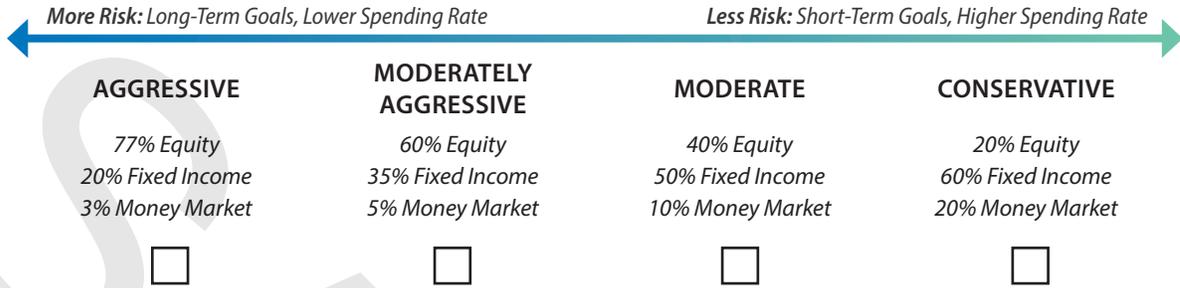
		<b>MORE RISK</b> Long-Term Goals Lower Spending Rate			<b>LESS RISK</b> Short-Term Goals Higher Spending Rate		
<b>Mix Options ▶</b>		AGGRESSIVE	MODERATELY AGGRESSIVE	MODERATE	CONSERVATIVE	RISK AVERSE	CUSTOM MIX*
<b>Investment Pools</b>	<b>Domestic Equity</b>	55%	43%	29%	14%	0%	
	<b>International Equity</b>	22%	17%	11%	6%	0%	
	<b>Intermediate-Term Fixed Income</b>	10%	10%	15%	18%	0%	
	<b>Short-Term Fixed Income</b>	10%	25%	35%	42%	0%	
	<b>Money Market</b>	3%	5%	10%	20%	100%	

\*Combined total must equal 100%

Option 3: The assets in the Fund will be invested in Greater Horizons' Investment Pools with an emphasis on environmental, social and governance (ESG) factors.

- ▶ If you wish to use a pre-selected investment mix, follow the two steps below.
- ▶ If you prefer to create your own mix, complete the Custom Mix table with the percentages of each pool you wish to include.

**Step 1: Select your risk tolerance.**



**Step 2: Choose an ESG option for the equity allocation.**

- SOCIAL IMPACT**  
The Social Impact Pool invests in organizations that are treating employees and customers fairly and are creating opportunities for the least advantaged. Additionally, the Pool focuses on investment managers from groups that have been traditionally excluded from the asset management industry.
- ENVIRONMENTAL IMPACT**  
The Environmental Impact Pool invests in organizations that are best positioned to address and cope with rising climate risks by reducing carbon emissions, conserving resources, and adopting environmental policies.
- BROAD ESG IMPACT**  
The Broad ESG Impact Pool invests in organizations that tackle global challenges through commitments to environmental action, diversity and inclusion, and good governance.

Additional information about the ESG Pools is available at [www.greaterhorizons.org/investing](http://www.greaterhorizons.org/investing).

**Or, build your own custom mix with ESG Pools.**

**CUSTOM MIX**  
Combined total must equal 100%

<b>Investment Pools</b>	<b>Social Impact</b> (70% U.S. Stock / 30% Foreign Stock)	%
	<b>Environmental Impact</b> (70% U.S. Stock / 30% Foreign Stock)	%
	<b>Broad ESG Impact</b> (70% U.S. Stock / 30% Foreign Stock)	%
	<b>Domestic Equity</b> (100% U.S. Stock)	%
	<b>International Equity</b> (100% Foreign Stock: 80% Developed Markets / 20% Emerging Markets)	%
	<b>Intermediate-Term Fixed Income</b>	%
	<b>Short-Term Fixed Income</b>	%
	<b>Money Market</b>	%

**6** Financial Advisor Information

If your financial advisor will be involved with your Fund, provide the following details.

The financial advisor named below will have online access to view your Fund information in Greater Horizons' portal, including balance, contribution and grant history.

- ▶ If you selected Option 1 in Section 5, your financial advisor will invest the Fund's assets separately in a financial advisor managed account.
- ▶ If you selected Option 2 or 3 in Section 5, your financial advisor can change the asset allocation of the Fund in Greater Horizons' Investment Pools on your behalf.

Financial Advisor Name	Firm Name		
Address	City	State	Zip Code
Phone	Email Address		

**7** Professional Advisor Information

Please list any additional professional advisors (accountants, attorneys, financial advisors) with whom Greater Horizons may share information about your Fund should they inquire. If you would like to give any of the following advisors permission to view your Fund online, or make investment changes on your behalf, please indicate in Section 10.

Professional Advisor Name & Profession	Firm Name
Professional Advisor Name & Profession	Firm Name
Professional Advisor Name & Profession	Firm Name

## 8 Contribution Information

If you know how you will make your initial contribution, please provide details. If there are other assets you plan to contribute to the Fund, please list in Section 10. You will need to contact your financial advisor or financial institution to initiate transfers to the Fund.

\$

Estimated Initial Contribution

Approximate Date of Initial Contribution

Check

Wire or ACH

Bank Name: \_\_\_\_\_

Stocks and Other Securities

Number of Shares and Symbol(s):

**Private Business Interest or Real Estate:** Please contact [info@greaterhorizons.org](mailto:info@greaterhorizons.org) to discuss the donation process.

## 9 Referral Information

If someone guided you in the decision to establish the Fund, please provide the following information about your referral.

\_\_\_\_\_  
Name

\_\_\_\_\_  
Organization Name

\_\_\_\_\_  
Address

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Phone

\_\_\_\_\_  
Email Address

If you did not receive a personal referral, please share how you heard about us:

\_\_\_\_\_

**10** Additional Information

Please include any additional information you would like us to know. If you are setting up the Fund for a specific purpose, please describe here. If you are adding additional Advisory Committee Members, please provide contact information and specify permissions from Section 4.

SAMPLE

# 11 Terms & Required Signature(s)

The Company named in this document establishes this Fund with Greater Horizons.

The current Fund Advisor(s) may make recommendations for grants (from income and principal), investments, and other administrative matters unless otherwise noted herein. If two or more are named, any may act alone unless otherwise noted in Section 10. Upon the death, resignation or incapacity to serve of the Fund Advisor (or the surviving Fund Advisor if two or more individuals have been named), an Advisory Committee Member will either then advise the Fund or name a new Fund Advisor.

If no representatives from the Company are available to advise and consult with Greater Horizons, Greater Horizons shall use the principal and income from the Fund for charitable purposes.

*For assets in a financial advisor managed account:* The assets in the Fund will be managed directly by the named financial advisor on a discretionary basis, until such time that Greater Horizons or the Fund Advisor(s) exercise(s) the option to make a different selection for management of this Fund. The named financial advisor will manage the assets of this Fund in accordance with the Fund advisor(s)' charitable objectives and the most current financial policies and standards of Greater Horizons, which can be found at [www.greaterhorizons.org/fap-guidelines](http://www.greaterhorizons.org/fap-guidelines). Greater Horizons will regularly review the financial performance of this Fund.

*For assets in Greater Horizons' Investment Pools:* The responsibility for managing Greater Horizons' investment program is vested in Greater Horizons' Board of Directors through its Investment Committee. The percentages in the mix options may change from time to time upon Investment Committee review. For additional details regarding investment mixes and pools, refer to [www.greaterhorizons.org/investing](http://www.greaterhorizons.org/investing) to view the Investment Performance Summary and the Supplemental Report.

As required by IRS regulations:

- a) the investment recommendation is advisory only and Greater Horizons may, at its sole and absolute discretion, follow or decline to follow the recommendation;
- b) Greater Horizons may at any time, at its sole and absolute discretion, change the investment of all or any portion of the assets in the Fund;
- c) if the investment recommendation is accepted, the investments will be administered in accordance with the financial policies and fiduciary standards of Greater Horizons; and
- d) investments are subject to normal market and interest rate fluctuation risks, and any gain or loss generated by the above investments will be credited or charged to the Fund.

Greater Horizons' administrative fee schedule is attached. The fee schedule is subject to modification and may be increased or decreased at the sole discretion of Greater Horizons.

The Corporate Donor-Advised Fund Policies are attached; signing below indicates acceptance of these policies. The Corporate Donor-Advised Fund Policies are subject to change. Greater Horizons reserves the right to make the final decision regarding distributions from the Fund.

\_\_\_\_\_  
Fund Advisor Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

Accepted by: \_\_\_\_\_ Date \_\_\_\_\_  
*Greater Horizons*

### **Contributions to Corporate Donor-Advised Funds**

Contributions to corporate donor-advised funds at Greater Horizons are gifts to a public charity and eligible for the maximum tax deduction allowed by law. Greater Horizons accepts gifts of cash, publicly traded securities, private business interests (closely held stock, limited liability company interests, limited partnership interests), and real estate. Contributions are subject to the review and approval by Greater Horizons prior to acceptance and are irrevocable once accepted. Greater Horizons does not provide legal, tax or financial advice, so Greater Horizons encourages donors to consult with their own professional advisors prior to making a contribution.

### **Contributions of Non-Cash Assets**

The general policy of Greater Horizons is to sell all contributed property as soon as practical after receipt to minimize market risk. For non-publicly traded securities or other assets for which no readily liquid market exists, Greater Horizons will exercise discretion as to the timing and price of sales. Any costs incurred by Greater Horizons necessary for the disposition of securities and other assets and for the management of such assets prior to disposition will be an expense of the corporate donor-advised fund. Should sufficient liquidity not be available in the corporate donor-advised fund to cover any expenses, taxes or liabilities due as a result of the fund's ownership of a non-cash asset, the donor of such asset(s) agrees to contribute additional liquid assets to the corporate donor-advised fund as necessary to fully and timely cover such obligations.

### **Grants from Corporate Donor-Advised Funds**

Through a corporate donor-advised fund, companies can enjoy supporting any 501(c)(3) public charity in the United States, including governmental, educational and religious institutions. Companies may suggest grants directly to international organizations for an additional fee that covers the additional due diligence required of international grants. The IRS does not allow Greater Horizons to make distributions to private non-operating foundations, to individuals or to pay fundraising expenses. Rest assured that Greater Horizons will exercise due diligence to make sure grants from corporate donor-advised funds are given to organizations that have met IRS requirements.

Additional information about specific granting guidelines can be found at [www.greaterhorizons.org/grant-guidelines](http://www.greaterhorizons.org/grant-guidelines).

Because contributions to corporate donor-advised funds are eligible for a charitable tax deduction, grants subsequently made from corporate donor-advised funds are not tax deductible.

### **Fund Activity and Fund Statements**

Greater Horizons is responsible for ensuring corporate donor-advised funds are used for charitable grantmaking and do not confer any private benefit on the company. Greater Horizons monitors the use of corporate donor-advised funds to ensure their activity leads to charitable distributions. Greater Horizons does not have a minimum amount for grants or maximum frequency of grants. Fund Advisor(s) can suggest grants in any amount and as often as they like. Greater Horizons periodically checks in on corporate donor-advised funds that are not being used to ensure Greater Horizons understands the company's plans for their funds. Fund statements showing gift, grant and investment activity for a corporate donor-advised fund are available through the online donor portal.

### **Role of Corporate Donor-Advised Fund Advisors**

At any time, a Fund Advisor or an Advisory Committee Member may designate one or more individuals to be Fund Advisors. Each Fund Advisor may individually make recommendations for grants, investments and other Fund-related matters.

### **Who Can Manage the Investments of a Corporate Donor-Advised Fund**

A Fund Advisor may recommend that a corporate donor-advised fund be invested in Greater Horizons' investment pools or with a financial advisor. Financial advisors may manage the investments of a corporate donor-advised fund as long as the financial advisor is not (i) the company or an employee of the company, (ii) a Fund Advisor, (iii) any family member of an employee or Fund Advisor (defined as that person's spouse, ancestors, children, grandchildren, great grandchildren, siblings and the spouses of children, grandchildren, great grandchildren, siblings) and (iv) any entity where more than 35 percent of the entity is owned/controlled by any of these persons. If Greater Horizons does not receive an investment recommendation for the Fund, assets will be held in the Money Market Pool.

### **Confidentiality and Privacy Policy**

Greater Horizons holds all information concerning our donors and their corporate donor-advised funds in strict confidence. Greater Horizons will only release information about a donor if the donor has given permission, or if a government agency or court has the legal authority to request the information. All personal data collected on this form is subject to Greater Horizons' privacy policy, which can be found at [www.greaterhorizons.org/privacy-policy](http://www.greaterhorizons.org/privacy-policy).

### **Bequests and Beneficiary Designations**

Greater Horizons can carry out donors' charitable goals beyond their lifetimes. To name a corporate donor-advised fund at Greater Horizons as a beneficiary of a will, trust, life insurance policy or retirement plan account, the following language may be helpful in completing estate planning documents or beneficiary designation forms: After describing what the donor wants to leave to the fund (i.e., a percentage of the estate/trust/account or a specific dollar amount), please note that it will pass "to Greater Horizons (EIN 20-0849590) for the following component fund: [name of the fund]."

### **Fundraising**

Greater Horizons cannot sponsor or reimburse expenses for any fundraising activities or any other events intended to benefit any corporate donor-advised fund, and will not be responsible for the collection of any amounts from any benefit, ball, banquet, athletic event or third-party online fundraising page. Greater Horizons will only be responsible for the proper disbursement of funds actually received. Any advertising, promotional or other materials must be consistent with this policy.

Additional information about fundraising can be found at [www.greaterhorizons.org/fundraising-guidelines](http://www.greaterhorizons.org/fundraising-guidelines).

### **Honoring Donor Intent/Variance Power**

Greater Horizons carries out a company's charitable intent through a corporate donor-advised fund. In rare circumstances, Greater Horizons may determine that the fund's charitable purposes may have become unnecessary, obsolete, incapable of fulfillment, impractical or inconsistent with the community's charitable needs. In that case, Greater Horizons may need to exercise its variance power to change the charitable purpose of the fund. In doing so, Greater Horizons will strive to make distributions that are consistent with the company's charitable interests.

### **Additional Detail on These Policies**

Please see the Procedures for the Establishment and Operation of Funds and relevant sections of the Bylaws of Greater Horizons, available at [www.greaterhorizons.org/procedures](http://www.greaterhorizons.org/procedures).